



ESA Forest Carbon Monitoring project – benchmarking of EO data, methods and estimation of uncertainty in forest inventory mapping

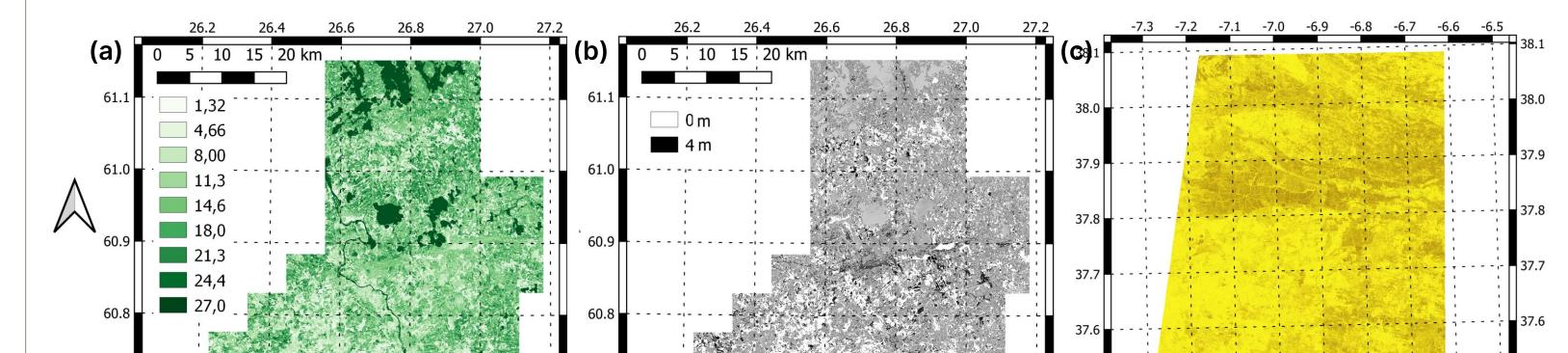
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Intercomparison objectives, with an overarching goal of achieving best prediction accuracies:

- Identify optimal Earth Observation data combinations for forest structural variable prediction.
- Identify best prediction method for forest variables to be further implemented on Forestry TEP within Forest Carbon Platform

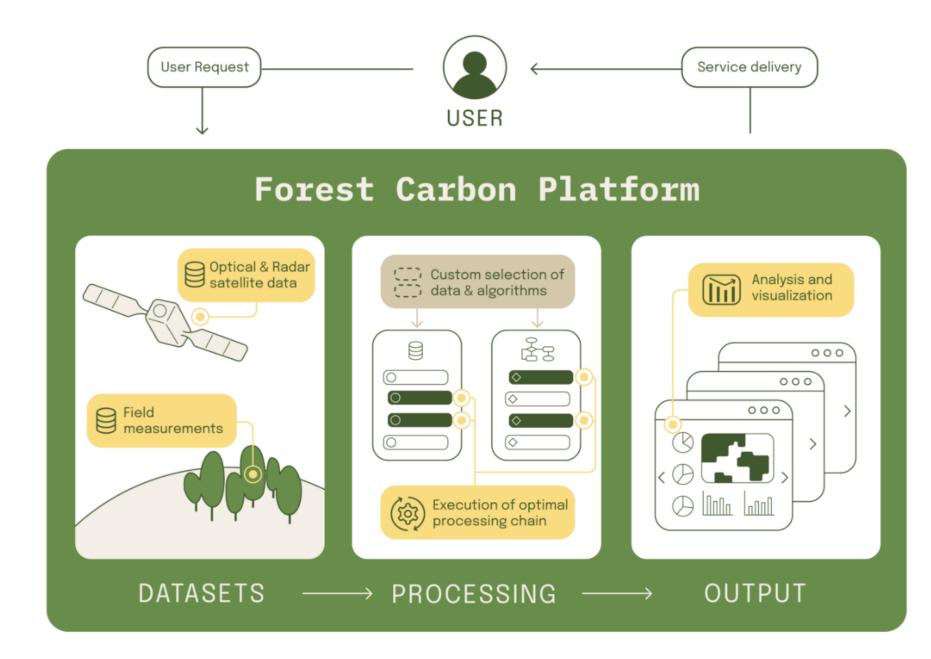
Intercomparison results



Methodologies

| Field reference: Company plots, NFI | Methods | Outputs |
|--|--|--|
| EO data: Sentinel-2, Sentinel- 1, ALOS-2 PALSAR-2, TanDEM-X, LiDAR, VHR imagery Auxiliary data: Copernicus products, climatic data etc. | Probability K-NN Finnish MS-NFI BIOMASAR approach Support vector Random forest Multiple linear regression SAR/InSAR inversion | Basal area Diameter Height Species proportions Site fertility Growing stock volun |

Figure 1. Main processing paths in algorithm comparison and evaluation



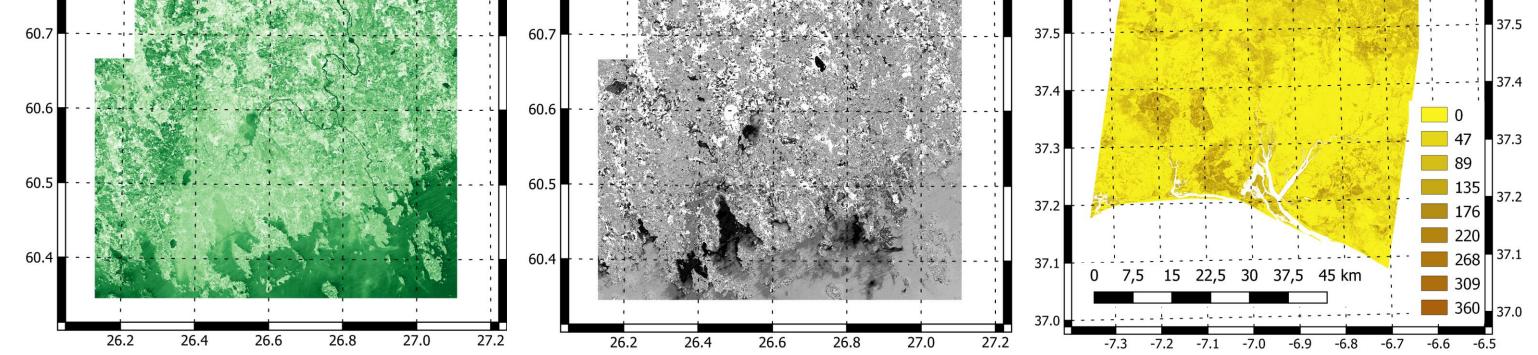


Figure 4. Examples of produced maps: (a) Tree height predictions by kNN using Sentinel-1 &Sentinel-2 data over Finland-2 site; (b) Uncertainty at mapping unit level obtained using bootstrapping approach; (c) Andalucia site growing stock volume predictions using VTT's Probability method

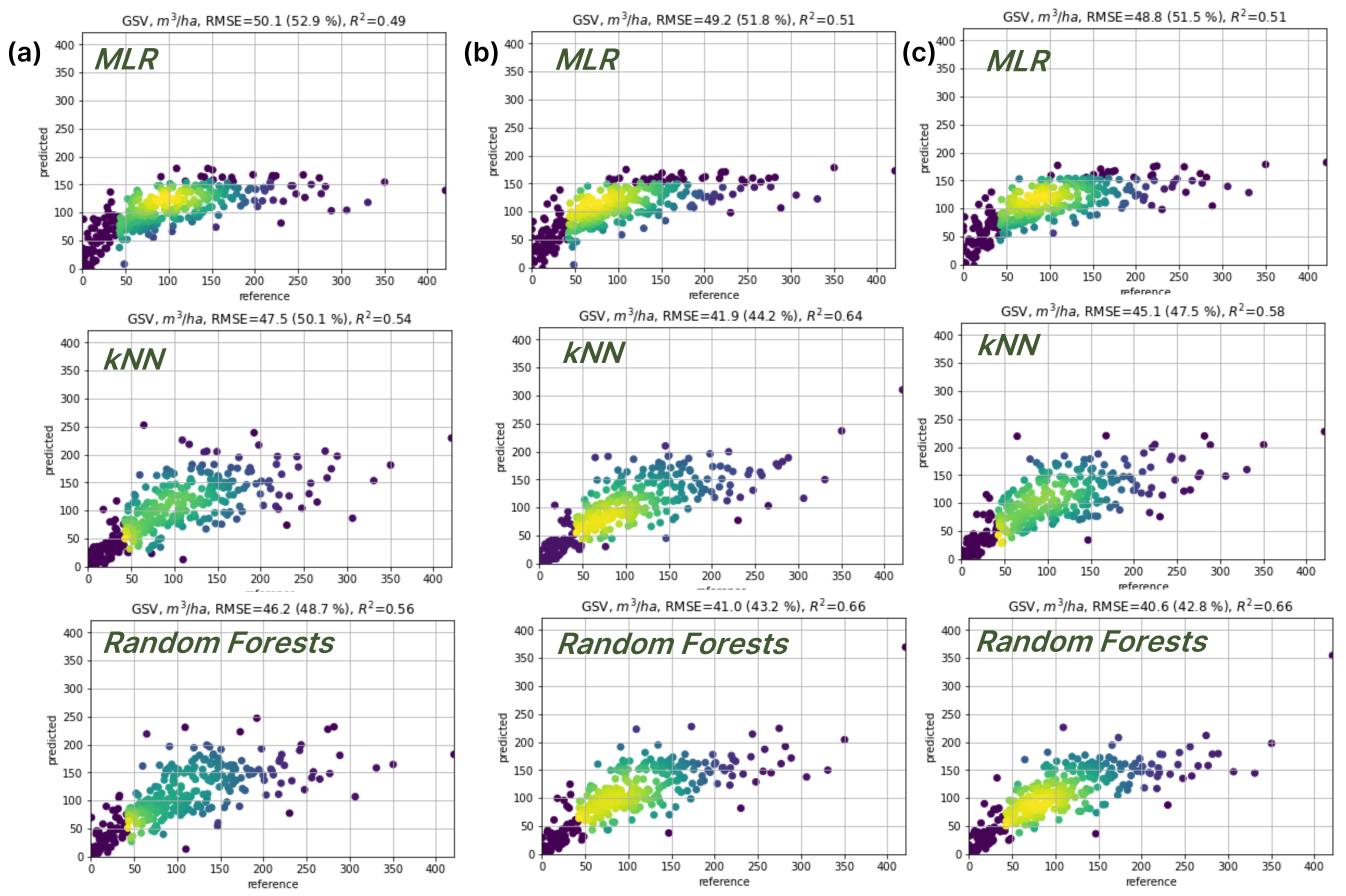
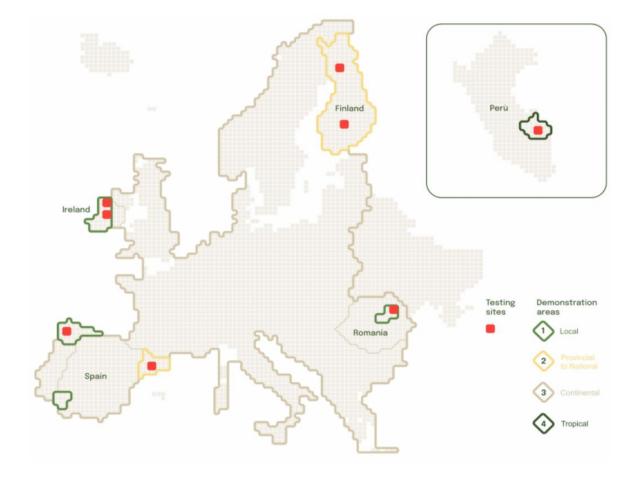


Figure 2. High level platform framework

Study/Testing sites



Testing site characteristics and field data

| Testing site | Forest types | Climate and topography | Years | Field plots |
|--------------|---|---|-------------|--------------------------|
| 1. Finland-1 | Semi-natural coniferous and broadleaf | Arctic, Hilly | 2018 (2019) | 1004 (2018) |
| 2. Finland-2 | Semi-natural coniferous and broadleaf | Boreal, Gently undulating | 2018 (2019) | 1100 (2018) |
| 3. Ireland | Mainly coniferous plantations, some broadleaf | Atlantic, Gently undulating | 2018 (2019) | 61 (2018) |
| 4. Romania | Semi-natural coniferous and broadleaf | Temperate/ Continental | 2019 (2020) | 1400 (2019) |
| 5. Catalonia | Semi-natural coniferous and broadleaf | Mediterranean, Hilly to Mountainous | 2016 (2015) | 700 (2016) 766 (2015) |
| 6. Andalucía | Eucalypt plantations | Mediterranean, Gently undulating | 2018 (2019) | 76 (2018) |
| 7. Peru | Amazonian evergreen | Tropical, Gently undulating | 2018 (2019) | 38 x 7 (2017-2018) |

EO and reference data

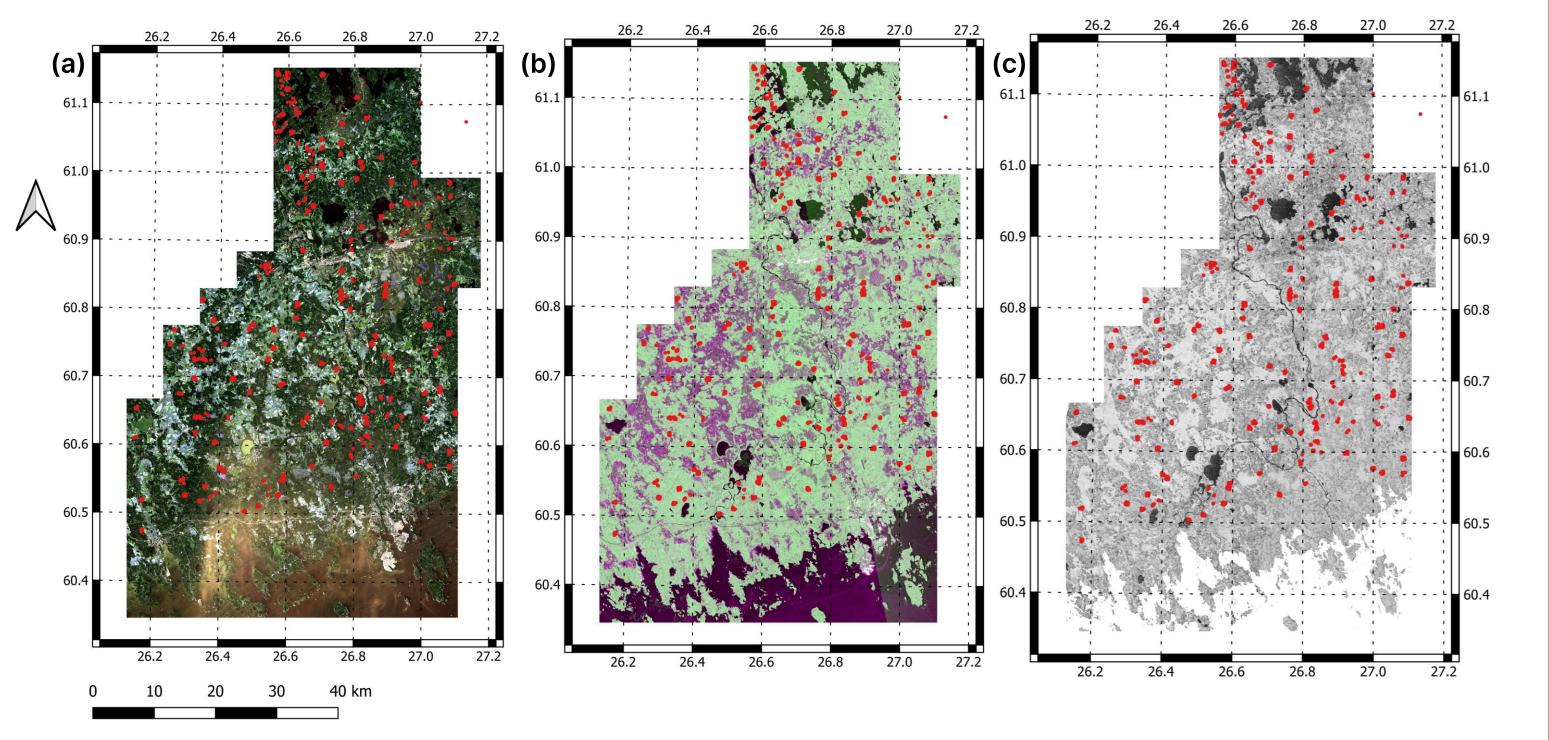
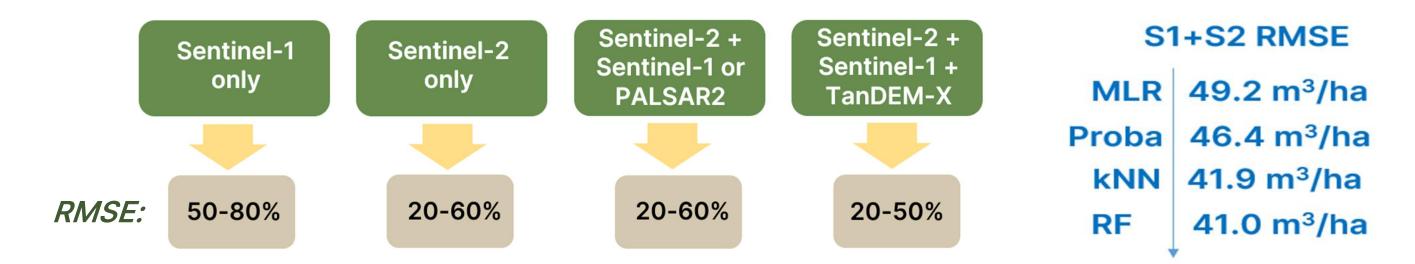
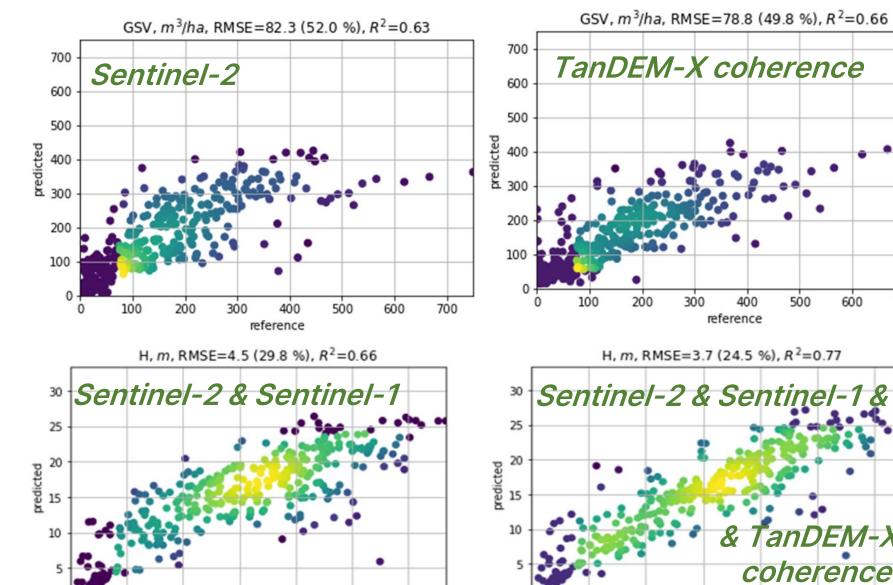
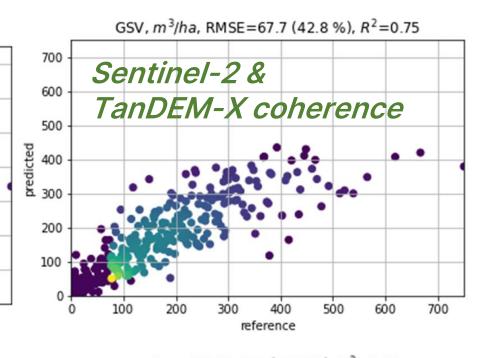


Figure 5. Finland-1 site results using various prediction methods and data combinations: (a) Sentinel-2; (b) Sentinel-2 & Sentinel-1; (c) Sentinel-2 & Sentinel-1 & PALSAR-2



Role of vertical structure





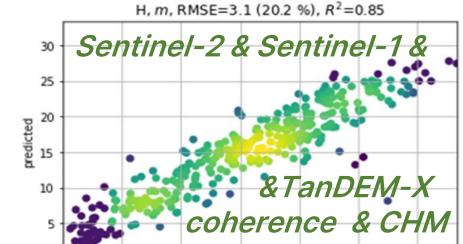


Figure 3. Example of representative datasets, Finnish test site: (a) Sentinel-2 RGB natural color composite; (b) Sentinel-1 multitemporal composite; (c) DLR's TanDEM-X coherence magnitude

0 5 10 15 20 25 30 0 5 10 15 20 25 30 0 5 10 15 20 25 30 0 5 10 15 20 25 30 reference reference

Figure 6. Finland-2 site forest variable predictions using various EO imagery: top row – growing stock volume, bottom row – forest tree height

Conclusions

- If there is representative reference data:
 - k-NN had slightly better performance than Probability.
 - Adding TanDEM-X coherence improved results significantly, even better if there is DTM were available to generate TanDEM-X canopy height model.
 - Random Forest (RF) gave the best accuracies after hyperparameter finetuning, but the method is generally limited to predicting single forest variables.
 - Multiple Linear Regression (MLR) was normally the worst performing method, however it demonstrated gradual improvement as additional EO layers are added.
- If representative field data is limited, semi-supervised VTT's Probability provided the best results.

